



ATTORNEY DOCKET NO. 4103/1
10/041,752

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Deborah A. Dixon (deceased)

Serial No. : 10/041,752 Examiner: To Be Assigned

Filed : January 7, 2002 Group Art Unit: 2166

Title : SYSTEM AND METHOD FOR ASSET
ACCUMULATION AND RISK MANAGEMENT

Mail Stop Non-Fee Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

PRELIMINARY AMENDMENT

RECEIVED

Sir:

JUL 01 2003

IN THE CLAIMS:

GROUP 3600

Please cancel Claims 1-12 without prejudice or disclaimer.

REMARKS

Claims 13-34 remain pending in this case. To expedite prosecution of this Application to allowance, the Examiner is invited to call the Applicant's undersigned representative to discuss any issues relating to this Application.

Respectfully submitted,

Dated: June 23, 2003

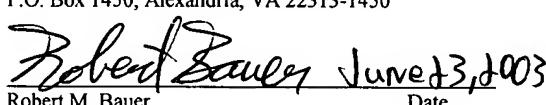


Robert M. Bauer

Reg. No. 34,487

BROWN RAYSMAN MILLSTEIN
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New York, NY 10022

I hereby certify that this paper is being deposited this date with the U.S. Postal Service as First Class Mail addressed to: Mail Stop: Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450


Robert M. Bauer Date



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TRANSMITTAL
LETTER

Atty Docket No.: 4103/1

Total Pages: 8

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Re: Applicants : Deborah Dixon (deceased)
Serial No. : 10/041,752
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Kindly file the annexed papers indicated below:

Preliminary Amendment
 Petition to Make Special
 Check for Petition Fee in the amount of \$130.00

	Claims Remaining after Amendment	Claims Paid for	Extra	Rate (Sm. Ent.)	Fee	Rate	Fee
Total	23	34 =		x \$9	\$	x \$18	
Indep.	2	3 =		x \$42	\$	x \$84	
MULTIPLE DEPENDENT CLAIM ADDED				+ \$140	\$	+ \$280	
				TOTAL	\$		

The Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to the undersigned attorney's Deposit Account No. 02-4270.

Respectfully Submitted,

Dated: June 23, 2003

By:

Robert M. Bauer
Registration No.34,487
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